

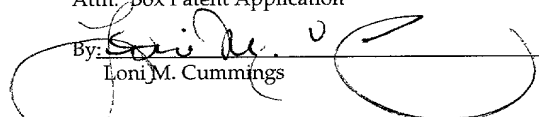
JENS E. HOEKENDIJK
P.O. Box 4787
Burlingame, CA 94011-4787
(415) 412-3322

Attorney Docket No. 047-D1-C1
Express Mail Label No.: EM473461211US
Date of Deposit: January 12, 2001

01/12/01

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, DC 20231

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231, Attn: Box Patent Application

By: 
Loni M. Cummings

Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the continuation of application no. 09/114,307, filed July 13, 1998, which is a divisional patent application of U.S. patent application Serial No. 08/570,286, filed December 11, 1995, now issued as U.S. Patent No. 5,795,325, which is a continuation-in-part of Serial No. 08/486,216, filed June 7, 1995, now issued as U.S. Patent No. 5,766,151, which is a continuation-in-part of application of U.S. patent application Serial No. 08/282,192, filed July 28, 1994, now issued as U.S. Patent No. 5,584,803, which is a continuation-in-part of application Serial No. 08/162,742, filed December 3, 1993, now abandoned, which is a continuation-in-part of application Serial No. 08/123,411, filed September 17, 1993, now abandoned, which is a continuation-in-part of application Serial No. 07/991,188, filed December 15, 1992, now abandoned, which is a continuation-in-part of application Serial No. 07/730,559, filed July 16, 1991, which issued as U.S. Patent No. 5,370,685 on December 6, 1994.

Inventor(s): KIRSTEN L. VALLEY, DAVID W. SNOW, SYLVIA W. FAN and RICHARD L. MUELLER, JR.

For: METHODS AND APPARATUS FOR ANCHORING AN OCCLUDING MEMBER

Enclosed are:

- ☒ Specification (85 pages); 49 claims on pages 74-84.
- ☒ Forty-four (44) sheets of formal drawings.
- ☒ The prior application is assigned of record to: HEARTPORT, INC.
- ☒ Copy of Oath or Declaration from prior application (37 CFR 1.63(d)) with Power of Attorney.
- ☒ Incorporation By Reference: the entire disclosure of the prior application, from which a copy of the Oath or Declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☒ A verified Statement to Establish Small Entity Status under 37 CFR §1.9 and 37 CFR §1.27 & 1.28 was filed in the prior application and small entity status is still proper and desired.
- ☒ Change of Correspondence Address.
- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement.

The filing fee has been calculated as shown below:

	NO. FILED		NO. EXTRA	SMALL ENTITY RATE	FEES
TOTAL CLAIMS	20-20 =	-	0	x09	\$ ----
INDEP. CLAIMS	3-3 =	-	0	x40	\$ ----
BASIC FEE				\$355	\$355
TOTAL					\$355

Please charge Deposit Account No. 08-1510 the following fees:

- ☒ The filing fee of \$355.00.
- ☒ Any additional fees or credit any overpayment to Deposit Account No. 08-1510 during the pendency of this application.
- ☒ 2 copies of this sheet are enclosed.

Respectfully submitted,


Jens E. Hoekendijk, Reg. No. 37,149
Attorney for Applicant

Telephone: (415) 412-3322
Facsimile: (415) 753-3376

Jc987 U.S. PTO
09/759431

01/12/01